IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WALKER et al.

Serial No.: Not yet assigned

Filing Date: October 2, 2000

For: SYSTEM, METHOD AND APPARATUS

FOR ENCOURAGING THE

UNDERTAKING OF A PREVENTATIVE

TREATMENT

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Customer No.: 22927



Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.



1.[]	Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. []	For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is
	enclosed. Such items are marked as reference letter(s):
3.[]	For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s):
4. [X]	No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
	[X] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
	[] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
	[] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. []	No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of

Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below.

- 6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 11 below.
- 7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
 - a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 11 below.
- 8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
 - [] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

		37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information Disclosure Statement is to be considered in a Compplication upon abandonment of the instant application and is actitached Petition to Withdraw Application from Issue.	ntinuation
	[The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in par-	agraph 11 below.
9. []	I h	by certify:	·
	[]	hat each item of information contained in the items listed on the Form PTO-1449 was cited in a communication from a foreign particular foreign application not more than three months prior this Information Disclosure Statement. A copy of such communicationed.	tent office in a
	[]	hat no item of information contained or listed on the enclosed contained was cited in a communication from a foreign patent office in foreign application and, to the knowledge of the undersigned after easonable inquiry, was known to any individual designated in §1 three months prior to the filing of this Information Disclosure Sta	n a counterpart r making .56(c) more than
10.[]	Ple	accept payment of the fees due as indicated below:	
	[]	A check in the amount of \$240.00 is enclosed in payment of the f C.F.R. 1.17(p).	ee due under 37
	[]	The Commissioner is hereby authorized to charge \$240.00 to Dep 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A chis sheet is attached for such purpose	

- [] A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- [] The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.
- 11. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

October 2, 2000 Date Respectfully submitted,

Patrick J. Buckley

Attorney for Applicants Registration No. 40,928

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Five High Ridge Park Stamford, CT 06905

(203) 461-7010

(203) 595-8266 /fax

Application Number Docket Number (Optional) Not yet assigned INFORMATION DISCLOSURE CALATION Applicant(s) Jay s. Walker et al. (Use several sheets if necessary) Filing Date Group Art Unit 2,000 October Not yet assigned U.S. PATERT DOCUMENTS EXAMINER FILING DATE HPMIE : SUBCLASS DOCUMENT NUMBER NAME CLASS: REF INITIAL IF APPROPRIATE 12/15/87 Ohayon et al. 128 672 4,712,562 /VF/ ' 5/16/89 235 382 /VF/ 4,831,242 Englehardt et al. C NF/ · 5,018,067 Mohlenbrock et al. 364 413.02 5/21/91 /VF/ D 434 238 5,207,580 5/04/93 Strecher

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Docket Number (Optional) 00-047 Application Number

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Applicant(s)
Jay S. Walker et al.

Filing Date October 2, 2000

Group Art Unit

Not yet assigned

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Docket Number (Optional) 00-047	Application Number Not yet assigned
Applicant(s) Jay S. Walker et al.	
Filing Date	Group Art Unit

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Application Number

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		I Frenel/	DATE CONSIDERED	11/22/200					
*EXAMINER: I	nitial if c	citation considered, whether or not citation is in conforman	ice with MPEP Section 609; I	Draw line thro	ugh citation if not in conformance and				